



27W
DAC
A

MS Petitions
Docket No.: 0216-0415P
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Hidetoshi SAITO et al.

Issued: November 2, 2004

Patent No. 6,810,575 B1

Application No.: 09/647,489

Confirmation No.: 8093

Filed: September 29, 2000

Art Unit: 1775

For: FUNCTIONAL ELEMENT FOR USE IN AN
ELECTRIC, AN ELECTRONIC OR AN
OPTIONAL DEVICE AND METHOD FOR
PRODUCING THE SAME

Examiner: D. D. Jones

PETITION TO CORRECT ASSIGNEE UNDER 37 C.F.R. § 1.183

MS Petitions
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This petition is to correct the assignee of the above-identified patent.

The required fee of \$130.00 as set forth in 37 C.F.R. § 1.117(h) is attached hereto.

Applicants respectfully request that the requirements of 37 CFR § 3.81(a) be waived in order to permit the correct name of the assignee to be provided after issuance of the patent.

07/22/2005 JADD01 00000013 6810575

01 FC:1464

130.00 OP

Further, Applicants submit that failure to include the correct assignee name on the PTOL-85B was inadvertent. That is, the failure to list Mr. Hidetoshi Saito as one of the assignees of the present invention on the PTOL-85B was inadvertent.

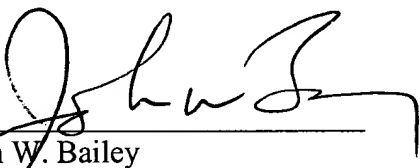
Attached hereto is (1) copy of the Notice of Recordation of Assignment.

If the present petition is granted, it is requested that the file be forwarded to Certificates of Correction Branch, for issuance of a Certificate of Correction in accordance with applicant's original "Request for Certificate of Correction under 37 CFR § 1.323" that was filed in the USPTO on December 15, 2004.

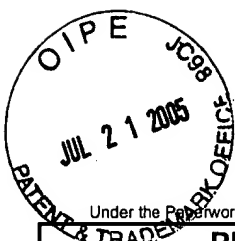
If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. §§1.16 or 1.17; particularly, extension of time fees.

Dated: July 21, 2005

Respectfully submitted,


By _____
John W. Bailey
Registration No.: 32,881
BIRCH, STEWART, KOLASCH & BIRCH, LLP
8110 Gatehouse Rd
Suite 100 East
P.O. Box 747
Falls Church, Virginia 22040-0747
(703) 205-8000
Attorney for Applicant

Attachment(s): As noted



Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17p (11-04)
Approved for use through 7/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PETITION FEE Under 37 CFR 1.17(f), (g) & (h) TRANSMITTAL (Fees are subject to annual revision) Send completed form to: MS Post Issue Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Application Number	09/647,489-Conf. #008093
	Filing Date	September 29, 2000
	First Named Inventor	Hidetoshi SAITO
	Art Unit	1775
	Examiner Name	D. D. Jones
	Attorney Docket Number	0216-0415P

Enclosed is a petition filed under 37 CFR _____ that requires a processing fee (37 CFR 1.17(f), (g), or (h)). Payment of \$ 130.00 is enclosed.

This form should be included with the above-mentioned petition and faxed or mailed to the Office using the appropriate Mail Stop (e.g., Mail Stop Petition), if applicable. For transmittal of processing fees under 37 CFR 1.17(i), see form PTO/SB/17i.

Payment of Fees (small entity amounts are NOT available for the petition fees).

☒ The Commissioner is hereby authorized to charge the following fees to Deposit Account No. 02-2448 :

☐ Petition fee under 37 CFR 1.17(f), (g) or (h) ☒ Any deficiency of fees and credit of any overpayments

Enclose a duplicative copy of this form for fee processing.

☒ Check in the amount of \$ 130.00 is enclosed.

☐ Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form.

Petition Fees under 37 CFR 1.17(f): Fee \$400 Fee Code 1462

For petitions filed under:

§ 1.53(e) – to accord a filing date.

§ 1.57(a) – to accord a filing date.

§ 1.182 – for decision on a question not specifically provided for.

§ 1.183 – to suspend the rules.

§ 1.378(e) – for reconsideration of decision on petition refusing to accept delayed payment of maintenance fee in an expired patent.

§ 1.741(b) – to accord a filing date to an application under § 1.740 for extension of a patent term.

Petition Fees under 37 CFR 1.17(g): Fee \$200 Fee Code 1463

For petitions filed under:

§ 1.12 – for access to an assignment record.

§ 1.14 – for access to an application.

§ 1.47 – for filing by other than all the inventors or a person not the inventor.

§ 1.59 – for expungement of information.

§ 1.103(a) – to suspend action in an application.

§ 1.136(b) – for review of a request for extension of time when the provisions of section 1.136(a) are not available.

§ 1.295 – for review of refusal to publish a statutory invention registration.

§ 1.296 – to withdraw a request for publication of a statutory invention registration filed on or after the date the notice of intent to publish issued.

§ 1.377 – for review of decision refusing to accept and record payment of a maintenance fee filed prior to expiration of a patent.

§ 1.550(c) – for patent owner requests for extension of time in *ex parte* reexamination proceedings.

§ 1.956 – for patent owner requests for extension of time in *inter partes* reexamination proceedings.

§ 5.12 – for expedited handling of a foreign filing license.

§ 5.15 – for changing the scope of a license.

§ 5.25 – for retroactive license.

Petition Fees under 37 CFR 1.17(h): Fee \$130 Fee Code 1464

For petitions filed under:

§ 1.19(g) – to request documents in a form other than that provided in this part.

§ 1.84 – for accepting color drawings or photographs.

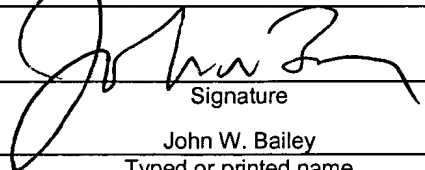
§ 1.91 – for entry of a model or exhibit.

§ 1.102(d) – to make an application special.

§ 1.138(c) – to expressly abandon an application to avoid publication.

§ 1.313 – to withdraw an application from issue.

§ 1.314 – to defer issuance of a patent.



Signature

John W. Bailey

Typed or printed name

July 21, 2005
Date

32,881
Registration No., if applicable



216-415

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FEBRUARY 09, 2001

PTAS

BIRCH, STEWART, KOLASCH & BIRCH, LLP
RAYMOND C. STEWART
P.O. BOX 747
FALLS CHURCH, VA 22040-0747



101586445A

**CORRECTED
NOTICE**

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/29/2000

REEL/FRAME: 011165/0897
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

\ASSIGNOR:
SAITO, HIDETOSHI

DOC DATE: 09/09/2000

\ASSIGNOR:
SATO, MINORU

DOC DATE: 09/09/2000

\ASSIGNOR:
UEDA, YOSHIKAZU

DOC DATE: 09/04/2000

\ASSIGNOR:
KINOSHITA, HIDEO

DOC DATE: 09/04/2000

\ASSIGNEE:
ASAHI KASEI KOGYO KABUSHIKI KAISHA
2-6, DOJIMAHAMA 1-CHOME, KITA-KU,
OSAKA-SHI
OSAKA, JAPAN 530-8205

011165/0897 PAGE 2

ASSIGNEE:

SAITO, HIDETOSHI
1769-1, FUKAZAWA-CHO, NAGAOKA-SHI
NIGATA 940-2135, JAPAN

SERIAL NUMBER: 09647489
PATENT NUMBER:

FILING DATE: 09/29/2000
ISSUE DATE:

SEDLEY PYNE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



A 10 581. 160

09/647489

Atty. Docket No.: 216-415P

Page 1 of 1

02-09-2001

422 Rec'd PCT/PTO 29 SEP 2000
VER SHEET

9.29.00

101586445

Please record the attached original documents or copy thereof.

its and Trademarks:

1. Name of conveying party(ies):

SAITO, Hidetoshi; SATO, Minoru; UEDA, Yoshikazu;
KINOSHITA, Hideo

Additional name(s) of conveying party(ies) attached?

☐ YES ☒ NO

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:Date: Septemer 9, 2000, September 9, 2000, September 4,
2000 and September 4, 2000, respectively

2. Name and address of receiving party(ies)

Name: ASAHI KASEI KOGYO KABUSHIKI KAISHA

Internal Address:

Street Address: 2-6, Dojimahama 1-chome, Kita-ku,
Osaka-shi

City: Osaka

State:

ZIP:

Country: JAPAN

Postal Code: 530-8205

Additional name(s) & address(es) attached? ☒ YES ☐ NO

09/647489

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

Septemer 9, 2000, September 9, 2000, September 4, 2000 and September 4, 2000, respectively

A. Patent Application No(s).

New

B. Patent No.(s).

Additional numbers attached? ☐ YES ☒ NO

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: BIRCH, STEWART, KOLASCH & BIRCH, LLP

Street Address: P.O. BOX 747

City: FALLS CHURCH State: VA ZIP: 22040-0747

Country: USA

6. Total No. of applications/patents involved: One (1)

7. Total fee (37 C.F.R. § 3.41): \$40.00

☒ Enclosed☒ Authorized to be charged to deposit account,
if no fee attached.

8. Deposit account number: 02-2448

(Attach triplicate copy of this page
if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Raymond C. Stewart, #21,066

Name of Person Signing/Reg. No.

Joe M. Murray Esq. 321334

Signature

September 29, 2000

Date

Total number of pages including cover sheet, attachments, and document: Four (4)

09/647489

Atty. Docket No.: 216-415P

Page 2 of 2

422 Rec'd PCT/PTO 29 SEP 2000

Attachment to
RECORDATION FORM COVER SHEET

Continuation of Box 1--Name of conveying party(ies):

Continuation of Box 2--Name and address of receiving party(ies):

Hidetoshi SAITO
1769-1, Fukazawa-cho, Nagaoka-shi, Niigata 940-2135 JAPAN

Continuation of Box 4--Application number(s) or patent number(s):

BIRCH, STEWART, KOLASCH & BIRCH, LLP

UNITED STATES PATENT RIGHTS, OR
UNITED STATES PLUS ALL FOREIGN PATENT RIGHTS

ATTORNEY DOCKET NO.

216-415P

ASSIGNMENT

Application No. NEW

Filed 9-29-00

Insert Name(s) of Inventor(s) ➡ WHEREAS, Hidetoshi SAITO, Minoru SATO, Yoshikazu UEDA and
Hideo KINOSHITA

Insert Title of Invention ➡ (hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in _____
Functional element for use in an electric, an electronic
or an optical device and method for producing the same

for which an application for Letters Patent of the United States of America has been executed by the undersigned (except in the case of a provisional application).

Insert Date of Signing of Application ➡ on September 4, 2000 and September 9, 2000; and

Insert Name of Assignee ➡ WHEREAS, (1) ASAHI KASEI KOGYO KABUSHIKI KAISHA
(2) Hidetoshi SAITO

Insert Address of Assignee ➡ of (1) 2-6, Dojimahama 1-chome, Kita-ku, Osaka-shi, Osaka 530-8205 JAPAN
(2) 1769-1, Fukazawa-cho, Nagaoka-shi, Niigata 940-2135 JAPAN

its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America and

CHECK BOX IF APPROPRIATE ➡ ☐ in any and all foreign countries.

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents does sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America, its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America, its territories, dependencies and possessions, and if the box above is designated, in any and all foreign countries;

and to any and all divisions, reissues, continuations, conversions and extensions thereof for the full term or terms for which the same may be granted.

984740.00 216-4154

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional, conversion or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division, conversion or reissue thereof or Letter Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States of America patent(s) or a grant of a valid United States of America and any foreign patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patents resulting from said application or any continuing, divisional conversion or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of Birch, Stewart, Kolasch & Birch, LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

The undersigned hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date <u>Sep. 9. 2000</u>	Name of Inventor <u>Hidetoshi Saito</u> (signature) Hidetoshi SAITO
Date <u>September 9. 2000</u>	Name of Inventor <u>M. Sato</u> (signature) Minoru SATO
Date <u>September 4. 2000</u>	Name of Inventor <u>Yoshikazu Ueda</u> (signature) Yoshikazu UEDA
Date <u>September 4. 2000</u>	Name of Inventor <u>Hideo Kinoshita</u> (signature) Hideo KINOSHITA
Date _____	Name of Inventor _____ (signature)
Date _____	Name of Inventor _____ (signature)